

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Russell M. Sylvia, Allen J. Bartlett and Mark E. Housman
Application No.: 10/734,079 Group Art Unit: 3744
Filed: December 11, 2003 Examiner: Not Yet Assigned
Confirmation No.: 7974
Title: AXIAL LOADED SEAL SYSTEM WITH A STATIC L-SEAL

CERTIFICATE OF MAILING OR TRANSMISSION	
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INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
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Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☐ under 37 CFR 1.97(c) together with either:
☐ a Statement under 37 CFR 1.97(e), as checked below, or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
☐ a Statement under 37 CFR 1.97(e), as checked below, and
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):
Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☐ Copies of the cited references are enclosed.
- ☒ Since this application was filed after June 30, 2003, copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
- ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
- ☐ The "concise explanation" requirement (non-English references) for reference(s) [] under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ submission of the enclosed International Search Report.
- ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
- ☐ the enclosed English language abstract.

☐ Applicant requests that the following non-published pending applications be considered:

Examiner's
Initials

____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []
 ____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []
 ____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

Examiner

Date

- ☐ A copy of each above-cited application, including the current claims, is enclosed.
- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

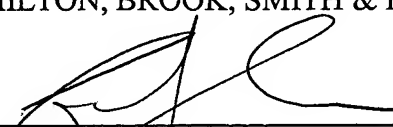
Method of payment:

- ☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By


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PTO-1449 REPRODUCED		ATTORNEY DOCKET NO. 0100.2073-000		APPLICATION NO. 10/734,079	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION May 18, 2004 (Use several sheets if necessary)		FIRST NAMED INVENTOR Russell M. Sylvia		FILING DATE December 11, 2003	
		EXAMINER Not yet assigned		CONFIRMATION NO. 7974	
				GROUP 3744	

U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	AA	3,572,732	03-30-1971	Sekulich
	AB	3,656,824	04-18-1972	Ullberg
	AC	3,771,799	11-13-1973	Sekulich et al.
	AD	4,005,953	02-01-1977	Ruf
	AE	4,121,838	10-24-1978	Sakamaki
	AF	4,283,064	08-11-1981	Staab et al.
	AG	4,355,519	10-26-1982	Kercheval et al.
	AH	4,418,918	12-06-1983	Nicoll
	AI	4,560,174	12-24-1985	Bisi
	AJ	4,707,150	11-17-1987	Graham
	AK	4,755,115	07-05-1988	Akaike
	AA2	5,055,015	10-08-1991	Furukawa
	AB2	5,121,931	06-16-1992	Matsushita et al.
	AC2	5,183,617	02-02-1993	Saitoh
	AD2	5,713,579	02-03-1998	Petrak et al.
	AE2	5,884,919	03-23-1999	Saito
	AF2	5,956,956	09-28-1999	Morishita et al.
	AG2	6,120,037	09-19-2000	Schmertz
	AH2	6,347,800 B1	02-19-2002	Auber
	AI2	6,390,666 B1	05-21-2002	Schafer et al.
	AJ2	6,408,631 B1	06-25-2002	Sylvia
	AK2	6,485,024 B1	11-26-2002	Pippert et al.
	AA3	6,543,786 B2	04-08-2003	Osumi et al.
	AB3	6,583,376 B2	06-24-2003	Kobayashi
	AC3	6,569,406 B2	07-29-2003	Sylvia

EXAMINER	DATE CONSIDERED
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